

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2023**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 13101-13111)*

1. Person Reporting (last name, first, middle initial) KAGAN, ELENA	2. Court or Organization SUPREME COURT OF THE UNITED STATES	3. Date of Report 05/14/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE OF THE SUPREME COURT OF THE UNITED STATES	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2023 to 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address 1 FIRST STREET NE WASHINGTON, D.C. 20543		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☒ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☒ NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 10/25/2023	CITIMORTGAGE INC MISCELLANEOUS INCOME	\$2,034.26
2. 11/02/2023	CITIMORTGAGE INC MISCELLANEOUS INCOME	\$2,034.26
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NOTRE DAME LAW SCHOOL	SEPTEMBER 20-24, 2023	NOTRE DAME, IN	SPEECH	TRANSPORTATION, HOTEL, MEALS
2.				
3.				
4.				
5.				

V. GIFTS. (Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☒

NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)

☒

NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H) (2) Type (e.g., div., rent, or int.)		C. Gross value at end of reporting period (1) Value Code 2 (J-P) (2) Value Method Code 3 (Q-W)		D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) (2) Date mm/dd/yy (3) Value Code 2 (J-P) (4) Gain Code 1 (A-H)				
1.	JUSTICE FEDERAL CREDIT UNION CASH ACCOUNT	A	Interest	M	T					
2.	FRANKLIN TEMPLETON MUTUAL BEACON FUND	D	Dividend	M	T					
3.	VANGUARD TOTAL STOCK MKT INDEX ADM	D	Dividend	O	T					
4.	VANGUARD TOTAL INTL STOCK INDEX ADMIRAL	B	Dividend	L	T					
5.	UNIV. OF CHICAGO RET - TIAA TRADITIONAL GUARANTEED FIXED ANNUITY	C	Interest	L	T					
6.	UNIV. OF CHICAGO RET - CREF STOCK QCSTIX		None	L	T					
7.	UNIV. OF CHICAGO RET - CREF CORE BOND QCBMIX		None	K	T					
8.	UNIV. OF CHICAGO RET - TIAA - VANGUARD TOTAL BOND MKT FUND INST PLUS	B	Dividend	K	T					
9.	UNIV. OF CHICAGO RET - TIAA - VANGUARD INST INDEX FUND INST PLUS	C	Dividend	M	T					
10.	UNIV. OF CHICAGO RET - TIAA -VANGUARD INST TARGET RETIREMENT 2025 INST	A	Dividend	J	T					
11.	IRA #1 - FIDELITY MAGELLAN FUND	E	Dividend	N	T					
12.	IRA #1 - FIDELITY PURITAN FUND	E	Dividend	N	T					
13.	IRA #1 - FIDELITY INTERMED BOND FUND	B	Dividend	L	T					
14.	IRA #2 - VANGUARD TOTAL BOND MKT INDEX ADMIRAL SHS	B	Dividend	K	T					
15.	IRA #2 - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T					
16.	IRA #2 - VANGUARD WELLESLEY INC FUND	C	Dividend	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	
17. IRA #2 - VANGUARD 500 INDEX FUND ADMIRAL SHS	B	Dividend	L	T					
18. IRA #2 - VANGUARD INTL EXPLORER FUND	A	Dividend	K	T					
19. BNY MELLON US MORTGAGE FUND INC - CLASS Z	A	Dividend	J	T					
20. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T					
21. CHARLES SCHWAB MONEY MARKET FUND ACCOUNT	A	Dividend	J	T					
22. SCHWAB S&P 500 INDEX FUND	D	Dividend	N	T	Sold (part)	02/22/23	K	E	
23. WASHINGTON, DC - RENTAL PROPERTY (ONE PARKING SPACE IN BUILDING)	C	Rent	K	W					
24. CITIBANK CASH ACCOUNT	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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Name of Person Reporting

KAGAN, ELENA

Date of Report

05/14/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART III.A, LINES 1-2: INCOME RECEIVED RELATING TO NON-REPORTABLE MORTGAGE ENTERED INTO IN A PRIOR YEAR.

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Name of Person Reporting

KAGAN, ELENA

Date of Report

05/14/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ELENA KAGAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544