

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2022**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 13101-13111)*

|                                                                                                                                                                                            |                                                                                                                                                                                                                                        |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Barrett, Amy C.                                                                                                       | <b>2. Court or Organization</b><br><br>Supreme Court of the United States                                                                                                                                                              | <b>3. Date of Report</b><br><br>05/08/2023                     |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Associate Justice of the Supreme Court of the United States    | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>1/1/2022<br>to<br>12/31/2022 |
|                                                                                                                                                                                            | <b>5b.</b> <input type="checkbox"/> Amended Report                                                                                                                                                                                     |                                                                |
| <b>7. Chambers or Office Address</b><br><br>Supreme Court of the United States<br>One First Street NE<br>Washington, DC 20543                                                              |                                                                                                                                                                                                                                        |                                                                |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i> |                                                                                                                                                                                                                                        |                                                                |

**I. POSITIONS.** *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

|                      |                                     |
|----------------------|-------------------------------------|
| 1. Adjunct Professor | University of Notre Dame Law School |
| 2.                   |                                     |
| 3.                   |                                     |
| 4.                   |                                     |
| 5.                   |                                     |

**II. AGREEMENTS.** *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

# FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

Barrett, Amy C.

Date of Report

05/08/2023

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                               | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------------------------------|----------------------------------------|
| 1. 2022     | University of Notre Dame Law School, teaching income | \$29,447.50                            |
| 2.          |                                                      |                                        |
| 3.          |                                                      |                                        |
| 4.          |                                                      |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE</u>           |
|-------------|-------------------------|
| 1. 2022     | SouthBank Legal, salary |
| 2.          |                         |
| 3.          |                         |
| 4.          |                         |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

|    | <u>SOURCE</u>                                     | <u>DATES</u>         | <u>LOCATION</u> | <u>PURPOSE</u>                                    | <u>ITEMS PAID OR PROVIDED</u> |
|----|---------------------------------------------------|----------------------|-----------------|---------------------------------------------------|-------------------------------|
| 1. | University of Notre Dame Law School               | February 13-15, 2022 | Notre Dame, IN  | Law Review Symposium (Lecture)                    | Transportation, food, lodging |
| 2. | Ronald Reagan Presidential Foundation & Institute | April 2-5, 2022      | Simi Valley, CA | Reagan Library (Address)                          | Transportation, food, lodging |
| 3. | Big Sky Labor and Employment Institute            | August 3-6, 2022     | Big Sky, MT     | Big Sky Labor and Employment Conference (Address) | Transportation, food, lodging |
| 4. | University of Notre Dame Law School               | August 21-27, 2022   | Notre Dame, IN  | Law School Seminar (Teaching)                     | Food                          |

**FINANCIAL DISCLOSURE REPORT**  
Page 3 of 18

|                                                               |                                         |
|---------------------------------------------------------------|-----------------------------------------|
| <b>Name of Person Reporting</b><br><br><b>Barrett, Amy C.</b> | <b>Date of Report</b><br><br>05/08/2023 |
|---------------------------------------------------------------|-----------------------------------------|

|    |                                   |                       |              |                                      |                               |
|----|-----------------------------------|-----------------------|--------------|--------------------------------------|-------------------------------|
| 5. | American College of Trial Lawyers | September 13-18, 2022 | Rome, Italy  | Annual Meeting (Address)             | Transportation, food, lodging |
| 6. | Saint Thomas More Society         | October 8-9, 2022     | Richmond, VA | Diocesan Red Mass & Dinner (Address) | Food, lodging                 |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Barrett, Amy C.          | 05/08/2023     |

V. GIFTS. (Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☒ NONE (No reportable gifts.)

|    | SOURCE | DESCRIPTION | VALUE |
|----|--------|-------------|-------|
| 1. |        |             |       |
| 2. |        |             |       |
| 3. |        |             |       |
| 4. |        |             |       |
| 5. |        |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)

☐ NONE (No reportable liabilities.)

|    | CREDITOR | DESCRIPTION       | VALUE CODE |
|----|----------|-------------------|------------|
| 1. |          | Tuition Agreement | J          |
| 2. |          | College Tuition   | K          |
| 3. |          | College Tuition   | K          |
| 4. |          |                   |            |
| 5. |          |                   |            |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |  |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|--|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |  |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |  |
| 1. Flagstar Cash Accounts                                                                                                  |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |  |
| 2. Wells Fargo Cash Account                                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |  |
| 3. Brokerage Account #1 (H)                                                                                                |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |  |
| 4. -Fidelity Dividend Growth Fund                                                                                          | D                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |  |
| 5. -Fidelity Trend Fund                                                                                                    | D                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 01/05/22         | J                        |                         |  |
| 6.                                                                                                                         |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/07/22         | J                        |                         |  |
| 7.                                                                                                                         |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/07/22         | J                        |                         |  |
| 8.                                                                                                                         |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/05/22         | J                        |                         |  |
| 9.                                                                                                                         |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/05/22         | J                        |                         |  |
| 10.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/07/22         | J                        |                         |  |
| 11.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/06/22         | J                        |                         |  |
| 12.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/22         | J                        |                         |  |
| 13.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/07/22         | J                        |                         |  |
| 14.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/05/22         | J                        |                         |  |
| 15.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/07/22         | J                        |                         |  |
| 16.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/06/22         | J                        |                         |  |
| 17. -Fidelity Money Market Fund (SPRXX)                                                                                    | A                                      | Int./Div.                              | K                                              | T                                  |                                           |                  |                          |                         |  |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |  |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|--|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |  |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |  |
| 18. -Fidelity 500 Index Fund                                                                                               | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 01/05/22         | J                        |                         |  |
| 19.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/07/22         | J                        |                         |  |
| 20.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/07/22         | J                        |                         |  |
| 21.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/05/22         | J                        |                         |  |
| 22.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/05/22         | J                        |                         |  |
| 23.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/07/22         | J                        |                         |  |
| 24.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/06/22         | J                        |                         |  |
| 25.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/22         | J                        |                         |  |
| 26.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/07/22         | J                        |                         |  |
| 27.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/05/22         | J                        |                         |  |
| 28.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/07/22         | J                        |                         |  |
| 29.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/06/22         | J                        |                         |  |
| 30. -Fidelity Mid Cap Enhanced Index Fund                                                                                  | C                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 01/04/22         | J                        |                         |  |
| 31.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/02/22         | J                        |                         |  |
| 32.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/02/22         | J                        |                         |  |
| 33.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/04/22         | J                        |                         |  |
| 34.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/03/22         | J                        |                         |  |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |
| 35.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/02/22         | J                        |                         |
| 36.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/05/22         | J                        |                         |
| 37.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/02/22         | J                        |                         |
| 38.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/02/22         | J                        |                         |
| 39.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/04/22         | J                        |                         |
| 40.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/02/22         | J                        |                         |
| 41.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/02/22         | J                        |                         |
| 42. -Fidelity Puritan Fund                                                                                                 | D                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 01/04/22         | J                        |                         |
| 43.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/02/22         | J                        |                         |
| 44.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/02/22         | J                        |                         |
| 45.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/04/22         | J                        |                         |
| 46.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/03/22         | J                        |                         |
| 47.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/02/22         | J                        |                         |
| 48.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/05/22         | J                        |                         |
| 49.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/02/22         | J                        |                         |
| 50.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/02/22         | J                        |                         |
| 51.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/04/22         | J                        |                         |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

Barrett, Amy C.

Date of Report

05/08/2023

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |  |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|--|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) |  |
| 52.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/02/22                | J                               |                                |  |
| 53.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/02/22                | J                               |                                |  |
| 54. -Fidelity Cash Holding Account                                                                                         | B                                      | Interest                                      | N                                              | T                                         |                                                 |                         |                                 |                                |  |
| 55. Brokerage Account #2 (H)                                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |  |
| 56. -American Funds AMCAP F2                                                                                               | B                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 11/23/22                | J                               | A                              |  |
| 57. -American Funds American Mutual Fund F2                                                                                | A                                      | Dividend                                      | J                                              | T                                         | Donated<br>(part)                               |                         |                                 |                                |  |
| 58. -American Funds Tax-Exempt Bond F2                                                                                     | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 11/23/22                | K                               |                                |  |
| 59. -Blackrock Strategic Municipal<br>Opportunities Fund Institutional                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 11/23/22                | J                               |                                |  |
| 60. -Securian Brokerage Money Market<br>Account                                                                            |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |  |
| 61. -Capital Group Dividend Value ETF                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 11/23/22                | J                               |                                |  |
| 62. -Capital Group Global Growth Equity ETF                                                                                | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 11/23/22                | K                               |                                |  |
| 63. -Davis Select Worldwide ETF                                                                                            | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 11/23/22                | K                               |                                |  |
| 64. -Dodge & Cox Income                                                                                                    | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 11/23/22                | J                               |                                |  |
| 65. -First Trust Capital Strength ETF                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 11/23/22                | J                               |                                |  |
| 66. -First Trust Small Cap Growth AlphaDEX<br>Fund                                                                         |                                        | None                                          | J                                              | T                                         | Buy                                             | 11/23/22                | J                               |                                |  |
| 67. -Goldman Sachs High Yield Municipal Fund<br>Institutional                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 11/23/22                | J                               |                                |  |
| 68. -Goldman Sachs Dynamic Municipal<br>Income Fund Institutional                                                          | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 11/23/22                | K                               |                                |  |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |



VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |  |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|--|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |  |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |  |
| 69. -iShares Core U.S. Aggregate Bond ETF                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/23/22         | K                        |                         |  |
| 70. -iShares Floating Rate Bonds ETF                                                                                       | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/23/22         | J                        | A                       |  |
| 71. -iShares MSCI EAFE ETF                                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/23/22         | K                        | B                       |  |
| 72. -iShares Russell 2000 Value ETF                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 73. -PIMCO Enhanced Short Maturity Active ETF                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/23/22         | J                        |                         |  |
| 74. -SPDR Bloomberg Barclays High Yield Bond ETF                                                                           | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/23/22         | J                        |                         |  |
| 75. -SPDR S&P International Small Cap ETF (X)                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 76. -T. Rowe Price Blue Chip Growth ETF                                                                                    |                                        | None                                   | J                                              | T                                  | Buy                                       | 11/23/22         | J                        |                         |  |
| 77.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/30/22         | J                        |                         |  |
| 78. -T. Rowe Price Tax-Free Income Fund                                                                                    | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 11/23/22         | J                        |                         |  |
| 79. -Vanguard Emerging Markets Stock Index Fund ETF                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 11/23/22         | J                        |                         |  |
| 80. -Vanguard Short-Term Bond Index Fund ETF                                                                               | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/23/22         | K                        |                         |  |
| 81. -Vanguard REIT Index Fund ADM (Specialized Portfolio)                                                                  | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 11/23/22         | J                        | A                       |  |
| 82. -Vanguard Total International Bond Index Fund ETF                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/23/22         | K                        |                         |  |
| 83. -Vanguard Ultra Short-Term Tax-Exempt Fund Admiral                                                                     | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 11/23/22         | J                        |                         |  |
| 84. -Vanguard Value Index Fund ETF                                                                                         |                                        | None                                   |                                                |                                    | Donated                                   |                  |                          |                         |  |
| 85. -American Funds New Perspective Fund-F3                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |  |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|--|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |  |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |  |
| 86. -Invesco Oppenheimer Developing Markets Fund Y                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 87. -Invesco Oppenheimer International Small Company                                                                       | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 88. -Primecap Odyssey Funds Growth Fund                                                                                    | B                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 89. -Blackrock Russell 2000 Small Cap Index - Institutional                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |  |
| 90. IRA #1 (H)                                                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |  |
| 91. -American Funds New Perspective F2                                                                                     | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |  |
| 92. -AMG Yacktman Fund Service Class                                                                                       | B                                      | Dividend                               | K                                              | T                                  | Sold (part)                               | 06/17/22         | J                        |                         |  |
| 93. -Securian Brokerage Money Market Account                                                                               |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |  |
| 94. -Davis Global Fund Class Y                                                                                             | A                                      | Dividend                               | K                                              | T                                  | Buy (add'l)                               | 06/17/22         | J                        |                         |  |
| 95. -Dodge & Cox Income                                                                                                    | A                                      | Dividend                               | J                                              | T                                  | Sold (part)                               | 06/17/22         | J                        |                         |  |
| 96. -Eventide Gilead Fund Class 1                                                                                          | B                                      | Dividend                               | K                                              | T                                  | Buy (add'l)                               | 06/17/22         | J                        |                         |  |
| 97. -Invesco Oppenheimer Developing Markets Fund Class Y                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy (add'l)                               | 06/17/22         | J                        |                         |  |
| 98. -Invesco Oppenheimer International Small Mid Company Fund Class Y                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 99. -Neuberger Berman Real Estate Fund Institutional Class                                                                 | B                                      | Dividend                               | J                                              | T                                  | Sold (part)                               | 06/17/22         | J                        |                         |  |
| 100. -PIMCO Funds Income FD P                                                                                              | A                                      | Dividend                               | J                                              | T                                  | Sold (part)                               | 06/17/22         | J                        |                         |  |
| 101. -Primecap Odyssey Funds Growth Fund                                                                                   | B                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 102. -T. Rowe Price Blue Chip Growth                                                                                       | B                                      | Dividend                               | K                                              | T                                  | Buy (add'l)                               | 06/17/22         | J                        |                         |  |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |  |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|--|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |  |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |  |
| 103. -T. Rowe Price Small-Cap Value                                                                                        | B                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 06/17/22         | J                        |                         |  |
| 104. IRA #2 (H)                                                                                                            |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |  |
| 105. -American Funds Capital Income Builder<br>Class C                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 106. -Securian Brokerage Money Market<br>Account                                                                           |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |  |
| 107. IRA #3 (H)                                                                                                            |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |  |
| 108. -AMG River Road Small Cap Value Fund<br>Class 1                                                                       | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 03/29/22         | J                        | A                       |  |
| 109. -Blackrock Strategic Income Opportunities                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 110. -Securian Brokerage Money Market<br>Account                                                                           |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |  |
| 111. -Diamond Hill Long-Short Fund Class I                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 112. -Dodge & Cox International Stock Fund                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 113. -DWS RREEF Real Assets Fund Class S                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 114. -Fidelity Adv International Growth                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 115. -FPA New Income Inc.                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 116. -Hotchkis and Wiley Large-Cap Value Fund<br>Class I                                                                   | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 03/29/22         | J                        | A                       |  |
| 117. -Invesco Growth Ser. Inv. Conv. Sec. Fund<br>Y                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 118. -Invesco Oppenheimer Developing Markets<br>Fund Y                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 119. -JP Morgan Hedged Equity Fund Class I                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |  |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|--|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |  |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |  |
| 120. -Prudential Global Real Estate Class Z                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 121. -T. Rowe Price Blue Chip Growth                                                                                       | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 122. -JP Morgan Small Cap Growth Fund-L                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 123. -Templeton Global Bond Fund-Advisor Class                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 124. -Thrivent Mid Cap Stock Fund Class S                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 125. -Victory Trivalent International Small-Cap Fund Class Y                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 126. 403(b) Plan (H)                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |  |
| 127. -Vanguard Institutional Tr. 2040                                                                                      | D                                      | Dividend                               |                                                |                                    | Sold                                      | 02/11/22         | P1                       | G                       |  |
| 128. -Vanguard Target Ret 2040                                                                                             | E                                      | Dividend                               | O                                              | T                                  | Buy                                       | 02/11/22         | P1                       |                         |  |
| 129. 401(K) Plan (H)                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |  |
| 130. -John Hancock Multimanager Growth Lifestyle                                                                           | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 131. -John Hancock Multimanager Aggressive Lifestyle                                                                       | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |  |
| 132. -John Hancock Variable Insurance Trust 500 Index Fund                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 04/07/22         | J                        |                         |  |
| 133. Indiana 529 Plan #1 (H)                                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |  |
| 134. -Vanguard U.S. Equity Index Portfolio                                                                                 |                                        | None                                   | K                                              | T                                  | Buy<br>(add'l)                            | 01/07/22         | J                        |                         |  |
| 135.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/09/22         | J                        |                         |  |
| 136.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/09/22         | J                        |                         |  |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |
| 137.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/08/22         | J                        |                         |
| 138.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/08/22         | J                        |                         |
| 139.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/09/22         | J                        |                         |
| 140.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/08/22         | J                        |                         |
| 141.                                                                                                                       |                                        |                                        |                                                |                                    | Distributed<br>(part)                     | 07/26/22         | J                        |                         |
| 142. -Dodge & Cox International Equity Portfolio                                                                           |                                        | None                                   | J                                              | T                                  | Distributed<br>(part)                     | 07/26/22         | J                        |                         |
| 143. -Vanguard Bond Index Portfolio                                                                                        |                                        | None                                   | J                                              | T                                  | Distributed<br>(part)                     | 07/26/22         | J                        |                         |
| 144. Indiana 529 Plan #2 (H)                                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 145. -Vanguard U.S. Equity Index Portfolio                                                                                 |                                        | None                                   | J                                              | T                                  | Open                                      | 07/26/22         | J                        |                         |
| 146.                                                                                                                       |                                        |                                        |                                                |                                    | Distributed<br>(part)                     | 08/01/22         | J                        |                         |
| 147. -Vanguard Bond Index Portfolio                                                                                        |                                        | None                                   | J                                              | T                                  | Open                                      | 07/26/22         | J                        |                         |
| 148.                                                                                                                       |                                        |                                        |                                                |                                    | Distributed<br>(part)                     | 08/01/22         | J                        |                         |
| 149. Indiana 529 Plan #3 (H)                                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 150. -Vanguard U.S. Equity Index Portfolio                                                                                 |                                        | None                                   | L                                              | T                                  | Buy<br>(add'l)                            | 01/07/22         | J                        |                         |
| 151.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/09/22         | J                        |                         |
| 152.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/09/22         | J                        |                         |
| 153.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/08/22         | J                        |                         |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |
| 154.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/09/22         | J                        |                         |
| 155.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/09/22         | J                        |                         |
| 156.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/08/22         | J                        |                         |
| 157. -Dodge & Cox International Equity Portfolio                                                                           |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |
| 158. -Vanguard Bond Index Portfolio                                                                                        |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |
| 159. Virginia 529 Plan #4 (H)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 160. -Vanguard Aggressive Growth Portfolio                                                                                 |                                        | None                                   | J                                              | T                                  | Buy<br>(add'l)                            | 01/04/22         | J                        |                         |
| 161.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/02/22         | J                        |                         |
| 162.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/02/22         | J                        |                         |
| 163.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/04/22         | J                        |                         |
| 164.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/03/22         | J                        |                         |
| 165.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/02/22         | J                        |                         |
| 166.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/05/22         | J                        |                         |
| 167. -Vanguard Total Stock Market Index Fund<br>Portfolio                                                                  |                                        | None                                   | J                                              | T                                  | Buy<br>(add'l)                            | 01/04/22         | J                        |                         |
| 168.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/02/22         | J                        |                         |
| 169.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/02/22         | J                        |                         |
| 170.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/04/22         | J                        |                         |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |
| 171.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/03/22         | J                        |                         |
| 172.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/02/22         | J                        |                         |
| 173.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/05/22         | J                        |                         |
| 174. ABLE Account (H)                                                                                                      |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 175. -Aggressive Option                                                                                                    | A                                      | Int./Div.                              | K                                              | T                                  |                                           |                  |                          |                         |
| 176. Knights of Columbus Life Insurance Policy                                                                             | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |
| 177. Virginia 529 Plan #5 (H)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 178. -Vanguard Total Stock Market Index Fund<br>Portfolio (X)                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |
| 179. Virginia 529 Plan #6 (H)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 180. -Vanguard Total Stock Market Index Fund<br>Portfolio (X)                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |
| 181. Virginia 529 Plan #7 (H)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 182. -Vanguard Total Stock Market Index Fund<br>Portfolio (X)                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |
| 183. Virginia 529 Plan #8 (H)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 184. -Vanguard Moderate Growth Portfolio (X)                                                                               |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |
| 185. Virginia 529 Plan #9 (H)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |
| 186. -Vanguard Aggressive Growth Portfolio (X)                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |
| 187. Virginia 529 Plan #10 (H)                                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) |
| 188. -Vanguard Total Stock Market Index Fund<br>Portfolio (X)                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000



**FINANCIAL DISCLOSURE REPORT**

Page 17 of 18

|                                 |                       |
|---------------------------------|-----------------------|
| <b>Name of Person Reporting</b> | <b>Date of Report</b> |
| Barrett, Amy C.                 | 05/08/2023            |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Lines 54, 60, 93, 106, and 110: The Fidelity Cash Holding Account and Securian Brokerage Money Market Accounts are holding accounts for cash in the accounts.

Lines 126, 133, 144, 149, 159, 174, 177, 179, 181, 183, 185, and 187: The 403(b) account, the Indiana and Virginia 529 accounts, and the ABLE account provide the market value of the investment vehicles in the accounts.

# FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Barrett, Amy C.

Date of Report

05/08/2023

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Amy C. Barrett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite G-330  
One Columbus Circle, N.E.  
Washington, D.C. 20544