

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2021**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

|                                                                                                                                                                                            |                                                                                                                                                                                                                                        |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Roberts, Jr, John G.                                                                                                  | <b>2. Court or Organization</b><br><br>Supreme Court of the United States                                                                                                                                                              | <b>3. Date of Report</b><br><br>05/15/2023                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Chief Justice of the United States                             | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2021<br>to<br>12/31/2021 |
|                                                                                                                                                                                            | <b>5b.</b> <input checked="" type="checkbox"/> Amended Report                                                                                                                                                                          |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>One First Street, NE<br>Washington, DC 20543                                                                                                   |                                                                                                                                                                                                                                        |                                                                  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i> |                                                                                                                                                                                                                                        |                                                                  |

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions )*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |
| 4. |  |
| 5. |  |

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions )*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Roberts, Jr, John G., 05/15/2023

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions )

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Rows 1-4 are empty.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[ ] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Row 1: 1. 2021, Macrae, Inc. -- Attorney Search Consultants -- salary. Row 2: 2. 2021, Pillsbury Winthrop Shaw Pittman LLP -- payment from unfunded, non-qualified deferred compensation plan. Rows 3-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Roberts, Jr, John G.     | 05/15/2023     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Lam Research (Common) LRCX                                                                                              | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 2. Texas Instruments (Common) TXN                                                                                          | D                                      | Dividend                               | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3. Thermo Fisher (Common) TMO                                                                                              | B                                      | Dividend                               | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. Sirius XM (Common) SIRI                                                                                                 | B                                      | Dividend                               |                                                |                                    | Donated                                   |                  |                          |                         |                                                            |
| 5. Amer. Century Gro. Inv. Class I TWGIX                                                                                   | D                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. MetLife GVUL: Putnam Multi-Cap Growth Fund                                                                              |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7. Vanguard Int'l Gr Fund Admiral Shares VWILX                                                                             | E                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. Vanguard Sm-Cap Index Fund Admiral VSMAX                                                                                | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 9. Wells Fargo bank accounts                                                                                               | A                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10. Capital One, Inc. bank accounts                                                                                        | B                                      | Interest                               | P1                                             | T                                  |                                           |                  |                          |                         |                                                            |
| 11. Caraheen Partners 1/8 int cottage, Knocklong, County Limerick, Ireland                                                 | A                                      | Rent                                   | J                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 12. my529 Equity - 10% Int'l UTSNX (see Part VIII)                                                                         | C                                      | Dividend                               | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. my529 Enrolled UTAWX (see Part VIII)                                                                                   | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. First Eagle Global SGIIX                                                                                               | E                                      | Dividend                               | O                                              | T                                  | Buy<br>(add'l)                            | 01/27/21         | J                        |                         |                                                            |
| 15.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 04/06/21         | J                        | C                       |                                                            |
| 16. T. Rowe Price Blue Chip Growth TRBCX                                                                                   | E                                      | Dividend                               | O                                              | T                                  | Sold<br>(part)                            | 04/06/21         | L                        | F                       |                                                            |
| 17. Allspring Absolute Return Fund WABIX (see Part VIII)                                                                   | C                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 02/26/21         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/26/21         | J                        |                         |                                                            |
| 19.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/06/21         | J                        |                         |                                                            |
| 20.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/28/21         | J                        |                         |                                                            |
| 21.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/09/21         | N                        |                         |                                                            |
| 22. AQR FDS Mgd. Futures Strategy AQMIX                                                                                    |                                        | None                                   |                                                |                                    | Sold                                      | 04/06/21         | L                        |                         |                                                            |
| 23. Dodge & Cox FDS International Stock DODFX                                                                              | D                                      | Dividend                               | N                                              | T                                  | Sold<br>(part)                            | 04/06/21         | L                        | D                       |                                                            |
| 24. Jane Brain, LLC                                                                                                        |                                        | None                                   |                                                |                                    | Closed                                    | 06/14/21         | J                        |                         |                                                            |
| 25. Principal MidCap Institutional PCBIX                                                                                   | E                                      | Dividend                               | O                                              | T                                  | Sold<br>(part)                            | 04/06/21         | K                        | E                       |                                                            |
| 26. ASG GLBL ALTRNTVE CL Y GAFYX                                                                                           |                                        | None                                   | M                                              | T                                  | Sold<br>(part)                            | 04/06/21         | L                        | A                       |                                                            |
| 27. Invesco Developing Markets ODVYX                                                                                       | E                                      | Dividend                               | N                                              | T                                  | Sold<br>(part)                            | 04/06/21         | K                        | D                       |                                                            |
| 28.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/25/21         | J                        |                         |                                                            |
| 29.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/27/21         | J                        |                         |                                                            |
| 30.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/27/21         | J                        |                         |                                                            |
| 31.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/27/21         | J                        |                         |                                                            |
| 32. Charter Communications (Common) CHTR                                                                                   |                                        | None                                   | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 33. my529-FDIC-Insured Static Investment Option UTSIX (see Part VIII)                                                      | B                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 34. Thornburg Ltd-Term Muni Inst LTMIX                                                                                     | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Tweedy Browne Global Value Fund<br>TBGVX                                                                               | D                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 36. T Rowe Price Short-Term Bond PRWBX                                                                                     | B                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 04/06/21         | L                        |                         |                                                            |
| 37. Vanguard Div. Growth Fd Investor Shares<br>VDIGX                                                                       | F                                      | Dividend                               | P1                                             | T                                  |                                           |                  |                          |                         |                                                            |
| 38. Gateway Fund GTEYX                                                                                                     | B                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 02/26/21         | J                        |                         |                                                            |
| 39. Vanguard Total Stk Mkt Index Fd Adm Shs<br>VTSAX                                                                       | B                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 11/26/21         | J                        |                         |                                                            |
| 40. Goldman Finl Sq Treas Money Market Fund<br>FTIXX                                                                       | A                                      | Dividend                               | L                                              | T                                  | Sold<br>(part)                            | 01/08/21         | J                        |                         |                                                            |
| 41.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/27/21         | J                        |                         |                                                            |
| 42.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/26/21         | J                        |                         |                                                            |
| 43.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/06/21         | K                        |                         |                                                            |
| 44.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/07/21         | J                        |                         |                                                            |
| 45.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 04/09/21         | J                        |                         |                                                            |
| 46.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/09/21         | J                        |                         |                                                            |
| 47.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/08/21         | J                        |                         |                                                            |
| 48. Wells Fargo IRA / Inv. Co. Amer. Class C<br>AICCX                                                                      | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 04/06/21         | J                        | A                       |                                                            |
| 49.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/22/21         | J                        |                         |                                                            |
| 50. MetLife GVUL: Deutsche Govt. Money<br>Mkt VIP                                                                          |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 51. MetLife GVUL Put.Gro. Opps.                                                                                            |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Bost.Ptnrs. L/S Rsrch. Fund BPIRX                                                                                      | E                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 04/06/21         | L                        |                         |                                                            |
| 53.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/07/21         | J                        |                         |                                                            |
| 54. Charles Schwab bank account                                                                                            | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 55. C. Schwab Value Advantage M. Fund SWVXX                                                                                | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 56. Tributary SM Co INSTL IP FOSBX                                                                                         | F                                      | Dividend                               | O                                              | T                                  | Buy<br>(add'l)                            | 04/06/21         | L                        |                         |                                                            |
| 57. Baird Aggregate FD CL I BAGIX                                                                                          | C                                      | Dividend                               | N                                              | T                                  | Buy<br>(add'l)                            | 04/06/21         | L                        |                         |                                                            |
| 58. Credit Suisse Comm Ret. I CRSOX                                                                                        | E                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 04/06/21         | K                        |                         |                                                            |
| 59. MFS Value I MEIIX                                                                                                      | E                                      | Dividend                               | O                                              | T                                  | Buy<br>(add'l)                            | 04/06/21         | K                        |                         |                                                            |
| 60.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/27/21         | J                        |                         |                                                            |
| 61.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/27/21         | J                        |                         |                                                            |
| 62.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/27/21         | J                        |                         |                                                            |
| 63. TriNet Ret. Plan (H)                                                                                                   |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 64. -- TA Vangard Instl Target Ret. 2020 VITWX                                                                             |                                        | None                                   | L                                              | T                                  | Buy<br>(add'l)                            | 04/15/21         | K                        |                         |                                                            |
| 65. Investment Account #1 (H)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 66. -- Janney Advantage Insured Sweep Cash Account                                                                         | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 67. -- American Balanced CL F2 AMBFX                                                                                       | D                                      | Dividend                               | M                                              | T                                  | Sold<br>(part)                            | 01/05/21         | J                        | B                       |                                                            |
| 68.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/28/21         | J                        | A                       |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/27/21         | J                        |                         |                                                            |
| 70.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/29/21         | K                        |                         |                                                            |
| 71.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/24/21         | J                        | A                       |                                                            |
| 72. -- American Global Balanced CL F2<br>GBLFX                                                                             | C                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 01/05/21         | J                        | B                       |                                                            |
| 73. -- American US Govt. Securities CL F2<br>GVTFX                                                                         | B                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 01/05/21         | J                        |                         |                                                            |
| 74.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/28/21         | J                        |                         |                                                            |
| 75.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/27/21         | J                        |                         |                                                            |
| 76.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 07/29/21         | K                        |                         |                                                            |
| 77. -- American Inflation Linked Bond CL F2<br>BFIGX                                                                       | B                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 01/05/21         | J                        |                         |                                                            |
| 78.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/28/21         | J                        |                         |                                                            |
| 79.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/24/21         | J                        | A                       |                                                            |
| 80. -- American Mortgage CL F2 MFAFX                                                                                       | B                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 01/05/21         | J                        |                         |                                                            |
| 81.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 05/28/21         | K                        |                         |                                                            |
| 82. -- American Mutual CL F2 AMRFX                                                                                         | B                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 01/05/21         | J                        | B                       |                                                            |
| 83.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/28/21         | J                        | B                       |                                                            |
| 84.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/24/21         | J                        | A                       |                                                            |
| 85. -- Bond Fund of America Cl F2 ABNFX                                                                                    | C                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 01/05/21         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/28/21         | K                        | B                       |                                                            |
| 87.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/27/21         | J                        | A                       |                                                            |
| 88.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 07/29/21         | K                        | A                       |                                                            |
| 89. -- Capital Income Builder CL F2 CAIFX                                                                                  | C                                      | Dividend                               | M                                              | T                                  | Sold<br>(part)                            | 01/05/21         | J                        | B                       |                                                            |
| 90.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/28/21         | L                        | E                       |                                                            |
| 91.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/24/21         | J                        | A                       |                                                            |
| 92.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/15/21         | J                        | C                       |                                                            |
| 93. -- Income Fund of America CL F2 AMEFX                                                                                  | D                                      | Dividend                               | M                                              | T                                  | Sold<br>(part)                            | 01/05/21         | J                        | C                       |                                                            |
| 94.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/28/21         | J                        | C                       |                                                            |
| 95.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/24/21         | J                        | A                       |                                                            |
| 96.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/15/21         | J                        | A                       |                                                            |
| 97. -- American High Income CL F2 AHIFX                                                                                    | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 07/27/21         | J                        |                         |                                                            |
| 98.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/29/21         | K                        |                         |                                                            |
| 99. -- Capital World Growth & Income CL F2<br>WGIFX                                                                        | D                                      | Dividend                               | L                                              | T                                  | Buy                                       | 05/28/21         | L                        |                         |                                                            |
| 100.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/27/21         | J                        |                         |                                                            |
| 101.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/29/21         | K                        |                         |                                                            |
| 102.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/24/21         | J                        | A                       |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. -- Amcap CL F2 AMCFX                                                                                                  | B                                      | Dividend                               | K                                              | T                                  | Buy                                       | 07/27/21         | J                        |                         |                                                            |
| 104.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/29/21         | K                        |                         |                                                            |
| 105.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/24/21         | J                        | A                       |                                                            |
| 106. -- American Multisector Income CL F2<br>MIAYX                                                                         | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 05/28/21         | L                        |                         |                                                            |
| 107.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/27/21         | J                        | A                       |                                                            |
| 108.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/29/21         | K                        | A                       |                                                            |
| 109.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/24/21         | J                        | A                       |                                                            |
| 110.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/15/21         | K                        |                         |                                                            |
| 111. -- American Strategic Bond CL F2 ANBFX                                                                                | A                                      | Dividend                               |                                                |                                    | Buy                                       | 05/28/21         | K                        |                         |                                                            |
| 112.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/27/21         | J                        | A                       |                                                            |
| 113.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 07/29/21         | K                        | A                       |                                                            |
| 114. American Funds SmallCap World Amer.<br>Class C SCWCX                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 04/06/21         | J                        |                         |                                                            |
| 115.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/22/21         | J                        |                         |                                                            |
| 116. American Funds New World Fund Class C<br>NEWCX                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 04/06/21         | J                        |                         |                                                            |
| 117.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/22/21         | J                        |                         |                                                            |
| 118. BlackRock Global Allocation I MALOX                                                                                   | D                                      | Dividend                               | N                                              | T                                  | Buy                                       | 11/09/21         | N                        |                         |                                                            |
| 119.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/10/21         | K                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Cottage, Knox County, Maine, United States (X)                                                                        | E                                      | Rent                                   | N                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 121. Macrae, Inc. (see Part VIII)                                                                                          |                                        | None                                   | M                                              | U                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2023

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII., line 11. In 2021, ownership of this property was transferred to a partnership.

Part VII., lines 12, 13, and 33. Column B(1) reports all dividends through July 13, 2021. On July 15, my529 began publishing the net asset value (NAV), or unit price, for each option. Dividends and interest are now embedded in the NAV. my529 also published ticker symbols for each option on July 15, 2021, and so those ticker symbols have been added.

Part VII., line 13. On July 14, 2021, my529 converted the existing "Age-Based Moderate" holding to "Enrolled" fund (UTAWX).

Part VII., line 17. On December 6, 2021, Wells Fargo Absolute Return Fund changed its name to Allspring Absolute Return Fund.

Part VII., line 121. This report was amended in May 2023 to reflect an equity holding in Macrae, Inc. Spousal non-investment income from Macrae, Inc. had previously been reported in Part III-B.

# FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2023

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John G. Roberts, Jr**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544