

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alito, Samuel A.	2. Court or Organization Supreme Court of the United States	3. Date of Report 05/26/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2021 to 12/31/2021
7. Chambers or Office Address Supreme Court of the United States 1 First Street, NE Washington, DC 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Instructor for Course	Regent University School of Law
2. Instructor for Course	Duke Law School
3. Honorary Advisory Board Member	Bolch Judicial Institute, Duke Law School
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Alito, Samuel A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01/26/2021	Regent University School of Law, Teaching	\$9,000.00
2. 02/04/2021	Duke Law School	\$15,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Notre Dame Law School	09/28/2021-09/30/2021	Notre Dame, IN	Meet with Students, Faculty & Administration, Lecture	Transportation, Lodging & Meals
2. St. Thomas Aquinas College	10/15/2021-10/18/2021	Beverly Hills, CA	50th Anniversary Gala Speech	Transportation, Lodging & Meals
3. St. John's University Law School, Center for Law and Religion Studies	11/15/2021	Jamaica, NY	10th Anniversary Dinner Speech	Meal
4.				

Name of Person Reporting Alito, Samuel A.	Date of Report 05/26/2022
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5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1. Edward Jones

Loan

K

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Vang Inter Term Tax Ex Fund	D	Dividend	M	T					
2. Van LT Tax Ex Fund	A	Dividend	J	T					
3. Vanguard TE Mny Mkt	A	Dividend	L	T					
4. Vang Small Cap Stock Fund	C	Dividend	N	T					
5. Vang Total Stock Mkt Index F	D	Dividend	N	T					
6. Windsor II	C	Dividend	L	T					
7. Vang Star Mutual Fund	D	Dividend	L	T					
8. Vang Wellington Mut Fund	E	Dividend	N	T					
9. Roth IRA (H)									
10. -Edward Jones Investment (Cash Account)		None	J	T					
11. -AES Corp	A	Dividend	J	T					
12. -BHP Biliton PLC ADR	B	Dividend	K	T					
13. -Loccitane Luxembourg	A	Dividend	J	T					
14. -Sealed Air Corp	A	Dividend	J	T					
15. -TJX Cos Inc	A	Dividend	K	T					
16. Vanguard Target Retirement Acct 2015	B	Dividend	K	T					
17. PNC Bank Accounts	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period			
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18. Traditonal IRA (H)								
19. - Capital World Growth & Income Fund CLA	B	Dividend	K	T				
20. - Eaton Vance Mutual Funds Trust Gov't Oblig LC A	A	Dividend	J	T	Sold (part)	01/14/21	J	
21. - Goldman Sachs Growth & Incom Strategy Fund CL A	A	Dividend	J	T				
22. - Income Fund of America CL A	A	Dividend	J	T				
23. - Putnam Dynamic Asset- Allocation Growth Fund CL A	A	Dividend	J	T				
24. - Putnam Dynamic Asset Allocation Balanced Fund CL A	A	Dividend	J	T				
25. Investment Account #1 (H)								
26. - Stafford Cnty & Staunton VA	A	Interest			Redeemed	07/19/21	J	
27. - Virginia Comwith Transn Brd	A	Interest	J	T				
28. - VA St Res Auth Wtr & Swr Rev	A	Interest	J	T				
29. - VA St Res Auth Wtr & Swr Sys	A	Interest	J	T				
30. - Virginia Beach VA GO Pub Impt	A	Interest	K	T				
31. -3M Co	A	Dividend	K	T				
32. - Abbott Laboratories	A	Dividend	K	T				
33. - Abbvie Inc	B	Dividend	K	T				
34. - Becton Dickinson & Co	A	Dividend	J	T				

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$500,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. - Black Hills Corp	B	Dividend	K	T					
36. - Boeing Co	A	Dividend	K	T	Sold	08/12/21	K	D	
37.					Buy	09/22/21	K		
38. - Caterpillar Inc	A	Dividend	K	T					
39. - Cdk Global Inc	A	Dividend	J	T					
40. - ConocoPhillips	A	Dividend	J	T					
41. - Corteva Inc	A	Dividend	J	T					
42. - Dow Inc	A	Dividend	J	T					
43. - DuPont De Nemours Inc	A	Dividend	J	T					
44. -Fortis Inc	A	Dividend	K	T					
45. - Jacobs Engineering Group Inc	A	Dividend	K	T					
46. - Johnson & Johnson	A	Dividend	K	T					
47. - Molson Coors Brewing Co	A	Dividend	J	T					
48. - Mondelez International Inc	A	Dividend	K	T					
49. - OGE Energy Corp	A	Dividend	K	T					
50. - Parker Hannifin Corp	A	Dividend	K	T					
51. - Phillips 66	A	Dividend	K	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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U =Book Value

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G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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52. - PNC Bank Corp	A	Dividend	K	T					
53. - Procter & Gamble Co	A	Dividend	K	T					
54. - Raytheon Technologies Corp	A	Dividend	J	T					
55. - Sealed Air Corp	A	Dividend	K	T					
56. - TJX Cox Inc	A	Dividend	K	T					
57. - Vanguard FTSE All-Wrld Exus ETF	A	Dividend	J	T					
58. Investment Account #2 (H)									
59. - Ishares Russ 1000 Growth ETF	A	Dividend	K	T	Sold (part)	04/01/21	J	A	
60. - Ishares Russ 1000 Value ETF	A	Dividend	K	T	Sold (part)	04/01/21	J	B	
61. - Ishares Russ MC Value ETF	A	Dividend	K	T	Sold (part)	04/01/21	J	C	
62. - Ishares S&P 500 Growth ETF	A	Dividend	K	T	Sold (part)	04/01/21	J	D	
63.					Sold (part)	12/07/21	J	B	
64. - Vanguard High Div Yield ETF	C	Dividend	L	T	Sold (part)	04/01/21	K	D	
65.					Sold (part)	12/07/21	J	B	
66. - Vanguard Mid Cap Growth ETF	A	Dividend	K	T	Sold (part)	04/01/21	J	B	
67. -American Europacific Growth F3	C	Dividend	K	T	Sold (part)	04/01/21	J	C	
68. - American New World Cl F3	C	Dividend	K	T	Buy (add'l)	04/01/21	K		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	
69. - Dimensional DFA Int'l Value Fund	B	Dividend	K	T	Sold (part)	04/01/21	J	A	
70. -Fidelity: Advisor Inter Mun Incm Fd Z	B	Dividend	L	T	Buy (add'l)	04/01/21	J		
71. -JP Morgan Fed Money Market	A	Dividend	J	T	Buy (add'l)	04/01/21	J		
72. -MFS International Equity Fund	B	Dividend	K	T	Sold (part)	04/01/21	J	A	
73. -MFS Municipal High Income R6	B	Dividend	L	T	Buy (add'l)	04/01/21	J		
74. -T. Rowe Price Tax Free Short Inter	B	Dividend	L	T	Buy (add'l)	04/01/21	J		
75. -USAA Tax Exempt Interm Term	C	Dividend	M	T	Buy (add'l)	04/01/21	J		
76. Investment Account #3 (H)									
77. - Fidelity Gov't MM Inst. C1 Cash & Money Market	A	Dividend	K	T					
78. - Ishares National Muni Bond ETF	B	Dividend	L	T					
79. - Dodge & Cox Income Fund	C	Dividend	L	T	Buy (add'l)	02/10/21	J		
80.					Buy (add'l)	05/10/21	J		
81.					Buy (add'l)	11/02/21	J		
82. -Metropolitan West Unconstrained	C	Dividend	L	T					
83. -T. Rowe Price VA T/F Bond Fd	D	Dividend	N	T					
84. - Vanguard Funds Inter-Term TE Fd 542	C	Dividend	M	T					
85. - Vanguard Funds Limited Term Tax Exempt Fund 531 Admiral	B	Dividend	M	T	Sold (part)	02/10/21	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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D =\$5,001 - \$15,000
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M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
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86.					Sold (part)	05/10/21	J	A
87. - Ishares S&P 100 ETF	A	Dividend	L	T				
88. - Ishares MSCI USA Quality Factor ETF	A	Dividend	K	T				
89. -Ishares Core Dividend Growth ETF	A	Dividend	K	T				
90. -Vanguard FTSE Developed Markets ETF	A	Dividend	J	T				
91. - Vanguard Mid Cap ETF	A	Dividend	K	T	Buy (add'l)	05/10/21	J	
92. - Vanguard Large Cap ETF	B	Dividend	M	T				
93. - Vanguard Growth ETF	A	Dividend	M	T	Sold (part)	05/10/21	K	D
94. - Vanguard Value ETF	B	Dividend	L	T	Sold (part)	08/04/21	K	D
95. - Seafarer Overseas Growth & Income Instl	B	Dividend	K	T	Buy	05/10/21	J	
96.					Buy (add'l)	05/19/21	J	
97.					Buy (add'l)	08/09/21	J	
98.					Buy (add'l)	08/30/21	J	
99.					Buy (add'l)	11/11/21	J	
100. - WCM Focused Int'l Growth	B	Dividend	K	T				
101. - Lazard Global Listed Infrastructure Portfolio Fund	A	Dividend			Sold	08/30/21	J	B
102. - Touchstone Sands EM GR-Inst 565	A	Dividend	K	T	Buy	02/10/21	J	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

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G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
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103.					Buy (add'l)	05/10/21	J	
104.					Buy (add'l)	08/09/21	J	
105.					Buy (add'l)	08/30/21	J	
106.					Buy (add'l)	11/11/21	J	
107. Mineral Interest, Grady Cnty, OK		None	M	W				
108. Kansas City whole Life Insurance	A	Interest	J	W				
109.								
110.								
111.								
112.								
113.								
114.								
115.								

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Alito, Samuel A.

Date of Report

05/26/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Alito, Samuel A.

Date of Report

05/26/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Samuel A. Alito**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544