

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2021**

1. Person Reporting (last name, first, middle initial) Barrett, Amy C.	2. Court or Organization Supreme Court of the United States	3. Date of Report 05/06/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice of the Supreme Court of the United States	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2021 to 12/31/2021
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address Supreme Court of the United States One First Street NE Washington, DC 20543		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	University of Notre Dame Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2021	University of Notre Dame Law School, teaching income	\$14,280.00
2. 2021	Javelin Group LLC, book royalties	\$425,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE</u>
1. 2021	SouthBank Legal: Ladue Curran Kuehn, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of Notre Dame Law School	August 19-24, 2021	Notre Dame, IN	Law School Seminar (teaching)	Transportation, lodging
2.	McConnell Center	September 12-13, 2021	Louisville, KY	Lecture (teaching)	Transportation, lodging, meal
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. [REDACTED]	Tuition Agreement	J
2. [REDACTED]	Tuition Agreement	K
3. [REDACTED]	College Tuition	None
4.		
5.		

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period			D Transactions during reporting period			
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	
1. Flagstar Cash Accounts		None	K	T					
2. Wells Fargo Cash Account		None	K	T	Open	08/17/21	J		
3. Brokerage Account #1 (H)									
4. -Fidelity Dividend Growth Fund	C	Dividend	L	T					
5. -Fidelity Trend Fund	D	Dividend	M	T	Buy (add'l)	06/07/21	J		
6.					Buy (add'l)	07/07/21	J		
7.					Buy (add'l)	08/05/21	J		
8.					Buy (add'l)	09/08/21	J		
9.					Buy (add'l)	10/05/21	J		
10.					Buy (add'l)	11/05/21	J		
11.					Buy (add'l)	12/07/21	J		
12. -Fidelity Money Market Fund (SPRXX)	A	Int./Div.	K	T	Sold (part)	04/19/21	J	A	
13. -Fidelity 500 Index Fund	A	Dividend	K	T	Buy (add'l)	06/07/21	J		
14.					Buy (add'l)	07/07/21	J		
15.					Buy (add'l)	08/05/21	J		
16.					Buy (add'l)	09/08/21	J		
17.					Buy (add'l)	10/05/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

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18.					Buy (add'l)	11/05/21	J	
19.					Buy (add'l)	12/07/21	J	
20. -Fidelity Mid Cap Enhanced Index Fund	C	Dividend	K	T	Buy	09/16/21	J	
21.					Buy (add'l)	10/04/21	J	
22.					Buy (add'l)	11/02/21	J	
23.					Buy (add'l)	12/02/21	J	
24. -Fidelity Puritan Fund	B	Dividend	K	T	Buy	09/16/21	J	
25.					Buy (add'l)	10/04/21	J	
26.					Buy (add'l)	11/02/21	J	
27.					Buy (add'l)	12/02/21	J	
28. -Fidelity Cash Holding Account	A	Interest	O	T				
29. Brokerage Account #2 (H)								
30. -American Funds AMCAP F2	B	Dividend	K	T				
31. -American Funds American Mutual Fund F2	A	Dividend	K	T				
32. -Securian Brokerage Money Market Account		None	J	T				
33. -Dodge & Cox Income	A	Dividend	K	T				
34. -iShares Core U.S. Aggregate Bond ETF	A	Dividend	K	T				

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35. -iShares Floating Rate Bonds ETF	A	Dividend	J	T				
36. -iShares MSCI EAFE ETF	A	Dividend	K	T				
37. -iShares Russell 2000 Value ETF	A	Dividend	J	T				
38. -PIMCO Enhanced Short Maturity Active ETF	A	Dividend	J	T				
39. -SPDR Bloomberg Barclays High Yield Bond ETF	A	Dividend	J	T				
40. -Vanguard Short-Term Bond Index Fund ETF	A	Dividend	K	T				
41. -Vanguard REIT Index Fund ADM (Specialized Portfolio)	A	Dividend	K	T				
42. -Vanguard Total International Bond Index Fund ETF	A	Dividend	K	T				
43. -Vanguard Value Index Fund ETF	A	Dividend	K	T				
44. -American Funds New Perspective Fund-F3	B	Dividend	K	T				
45. -Invesco Oppenheimer Developing Markets Fund Y	A	Dividend	J	T				
46. -Invesco Oppenheimer International Small Company	B	Dividend	J	T				
47. -Primecap Odyssey Funds Growth Fund	B	Dividend	J	T				
48. -Blackrock Russell 2000 Small Cap Index - Institutional	B	Dividend	K	T				
49. IRA #1 (H)								
50. -American Funds New Perspective F2	C	Dividend	L	T	Buy (add'l)	06/11/21	K	
51. -AMG Yacktman Fund Service Class	B	Dividend	K	T	Buy (add'l)	06/11/21	J	

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52. -Securian Brokerage Money Market Account		None	J	T					
53. -Davis Global Fund Class Y	C	Dividend	K	T	Buy (add'l)	06/11/21	K		
54. -Dodge & Cox Income	A	Dividend	K	T	Buy (add'l)	06/11/21	J		
55. -Eventide Gilead Fund Class 1	C	Dividend	K	T	Buy (add'l)	06/11/21	K		
56. -Invesco Oppenheimer Developing Markets Fund Class Y	A	Dividend	J	T	Buy (add'l)	06/11/21	J		
57. -Invesco Oppenheimer International Small Mid Company Fund Class Y	B	Dividend	K	T	Buy (add'l)	06/11/21	J		
58. -Neuberger Berman Real Estate Fund Institutional Class	A	Dividend	K	T	Buy (add'l)	06/11/21	J		
59. -PIMCO Funds Income FD P	A	Dividend	J	T	Buy (add'l)	06/11/21	J		
60. -Primecap Odyssey Funds Growth Fund	B	Dividend	K	T	Buy (add'l)	06/11/21	J		
61. -T. Rowe Price Blue Chip Growth	C	Dividend	K	T	Buy (add'l)	06/11/21	K		
62. -T. Rowe Price Small-Cap Value	C	Dividend	K	T	Buy (add'l)	06/11/21	K		
63. -Templeton Global Bond Fund-Advisor Class	A	Dividend			Sold	06/11/21	J		
64. IRA #2 (H)									
65. -American Funds Capital Income Builder Class C	A	Dividend	J	T					
66. -Securian Brokerage Money Market Account		None	J	T					
67. IRA #3 (H)									
68. -AMG River Road Small Cap Value Fund Class 1	A	Dividend	J	T					

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3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69.	-Blackrock Strategic Income Opportunities	A	Dividend	J	T				
70.	-Securian Brokerage Money Market Account		None	J	T				
71.	-Diamond Hill Long-Short Fund Class I	A	Dividend	J	T				
72.	-Dodge & Cox International Stock Fund	A	Dividend	J	T				
73.	-DWS RREEF Real Assets Fund Class S	A	Dividend	J	T	Buy (add'l)	11/19/21	J	
74.	-Fidelity Adv International Growth	A	Dividend	J	T				
75.	-FPA New Income Inc.	A	Dividend	J	T				
76.	-Hotchkis and Wiley Large-Cap Value Fund Class I	A	Dividend	J	T	Sold (part)	11/19/21	J	A
77.	-Invesco Growth Ser. Inv. Conv. Sec. Fund Y	A	Dividend	J	T				
78.	-Invesco Oppenheimer Developing Markets Fund Y	A	Dividend	J	T				
79.	-JP Morgan Hedged Equity Fund Class I	A	Dividend	J	T				
80.	-Prudential Global Real Estate Class Z	A	Dividend	J	T	Buy (add'l)	12/16/21	J	
81.	-T. Rowe Price Blue Chip Growth	A	Dividend	J	T				
82.	-JP Morgan Small Cap Growth Fund-L	A	Dividend	J	T				
83.	-Templeton Global Bond Fund-Advisor Class	A	Dividend	J	T				
84.	-Thrivent Mid Cap Stock Fund Class S	A	Dividend	J	T				
85.	-Victory Trivalent International Small-Cap Fund Class Y	A	Dividend	J	T				

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86. 403(B) Plan (H)								
87. -Vanguard Institutional Tr. 2040	E	Dividend	P1	T				
88. 401(K) Plan #1 (H)								
89. -Fidelity Low Price Stock	A	Dividend			Sold	06/01/21	M	E
90. -Vanguard Extended Market Index Fund Institutional Shares	A	Dividend			Sold	06/01/21	K	D
91. -PIMCO Total Return Institutional Class	A	Dividend			Sold	06/01/21	J	A
92. 401(K) Plan #2 (H)								
93. -John Hancock Multimanager Moderate Lifestyle		None			Buy	03/25/21	J	
94.					Sold	04/15/21	J	A
95. -John Hancock Multimanager Growth Lifestyle	A	Dividend	J	T	Buy	04/15/21	J	
96. -John Hancock Multimanager Aggressive Lifestyle	A	Dividend	J	T	Buy	04/15/21	J	
97. Indiana 529 Plan #1 (H)								
98. -Vanguard U.S. Equity Index Portfolio		None	K	T	Buy (add'l)	06/09/21	J	
99.					Distributed (part)	07/08/21	K	
100.					Buy (add'l)	07/09/21	J	
101.					Buy (add'l)	08/09/21	J	
102.					Buy (add'l)	09/09/21	J	

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103.					Buy (add'l)	10/08/21	J		
104.					Buy (add'l)	11/09/21	J		
105.					Distributed (part)	12/07/21	K		
106.					Buy (add'l)	12/09/21	J		
107. -Dodge & Cox International Equity Portfolio		None	J	T	Distributed (part)	07/08/21	J		
108.					Distributed (part)	12/07/21	J		
109. -Vanguard Bond Index Portfolio		None	J	T	Distributed (part)	07/08/21	J		
110.					Distributed (part)	12/07/21	J		
111. Louisiana 529 Plan #2 (H)									
112. -Louisiana Principal Protection Fund (Y)									
113. -Vanguard Institutional Total Stock Market Index, Inst. Plus (Y)									
114. Indiana 529 Plan #3 (H)									
115. -Vanguard U.S. Equity Index Portfolio		None	L	T	Buy (add'l)	06/09/21	J		
116.					Buy (add'l)	07/09/21	J		
117.					Buy (add'l)	08/09/21	J		
118.					Buy (add'l)	09/09/21	J		
119.					Buy (add'l)	10/08/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barrett, Amy C.

Date of Report

05/06/2022

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period			
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)
120.					Buy (add'l)	11/09/21	J	
121.					Buy (add'l)	12/09/21	J	
122. -Dodge & Cox International Equity Portfolio		None	J	T				
123. -Vanguard Bond Index Portfolio		None	J	T				
124. Virginia 529 Plan #4 (H)								
125. -Vanguard Aggressive Growth Portfolio		None	J	T	Buy	09/16/21	J	
126.					Buy (add'l)	10/04/21	J	
127.					Buy (add'l)	11/02/21	J	
128.					Buy (add'l)	12/02/21	J	
129. -Vanguard Total Stock Market Index Fund Portfolio		None	J	T	Buy	09/16/21	J	
130.					Buy (add'l)	10/04/21	J	
131.					Buy (add'l)	11/02/21	J	
132.					Buy (add'l)	12/02/21	J	
133. ABLE Account (H)								
134. -Aggressive Option	A	Int./Div.	J	T				
135. Knights of Columbus Life Insurance Policy	A	Int./Div.	J	T				

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Barrett, Amy C.	Date of Report 05/06/2022
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 28, 32, 52, 66, and 70: The Fidelity Cash Holding Account and Securian Brokerage Money Market Accounts are holding accounts for cash in the accounts.

Lines 86, 97, 114, 124, and 133: The 403(b) account, the Indiana and Virginia 529 accounts, and the ABLE account provide the market value of the investment vehicles in the accounts.

Line 111: The value of the Louisiana 529 Plan #2 is less than \$1000.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/06/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Amy C. Barrett*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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